

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No. 04645.0875  
 First Inventor Shah et al.  
 Title Ruthenium-Containing Ultrasonically ...  
 Express Mail Label No. EF380361905US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status.  
 See CFR 1.27.
3. ☒ Specification [Total Pages / 33 / ]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 13 / ]
5. ☒ Oath or Declaration [Total Pages / 3 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure ☒ Copies of IDS  
 Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☒ Other: check for \$1114.00 and \$40.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of the prior application No: 09/280,445

Prior application information: Examiner: M. Cleveland Group/Art Unit: 1762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

NAME	Michael F. Scalise				
	Hodgson Russ LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EF380361905US

Date of Deposit June 1, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty  
 Name

*Barbara Haggerty*

547038

# FEE TRANSMITTAL for FY 2001

*Patent Fees are subject to annual revision.*

Application Number

Filing Date

June 1, 2001

First Named Inventor

Shah et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$1154.00)

Attorney Docket Number

04645.0875

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

## 3. ADDITIONAL FEES

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

Large  
Fee  
CodeEntity  
Fee  
(\$)Small  
Fee  
CodeEntity  
Fee  
(\$)

Fee Description

Fee  
Paid

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105

130

205

65

Surcharge - late filing fee or oath

\$

☐ Applicant claims small entity status. See 37 CFR 1.27.

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139

130

139

130

Non-English specification

\$

## FEE CALCULATION

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

\$

## 1. BASIC FILING FEE

Large Entity Small Entity

112

920\*

112

920\*

Requesting Publication of SIR prior to Examiner Action

\$

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
710	201	355		Utility filing fee	\$710
320	206	160		Design filing fee	\$
490	207	245		Plant filing fee	\$
710	208	355		Reissue filing fee	\$
150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$710

113

1,840\*

113

1,840\*

Requesting Publication of SIR after Examiner Action

\$

710	201	355		Utility filing fee	\$710
320	206	160		Design filing fee	\$
490	207	245		Plant filing fee	\$
710	208	355		Reissue filing fee	\$
150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$710

115

110

215

55

Extension for reply within first month

\$

320	206	160		Design filing fee	\$
490	207	245		Plant filing fee	\$
710	208	355		Reissue filing fee	\$
150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$

116

390

216

195

Extension for reply within second month

\$

490	207	245		Plant filing fee	\$
710	208	355		Reissue filing fee	\$
150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$

117

890

217

445

Extension for reply within third month

\$

710	208	355		Reissue filing fee	\$
150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$

118

1,390

218

695

Extension for reply within fourth month

\$

150	214	75		Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					\$

128

1,890

228

945

Extension for reply within fifth month

\$

<b>SUBTOTAL (1)</b>					\$710
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119

310

219

155

Notice of Appeal

\$

## 2. EXTRA CLAIM FEES

Extra Fee from

Claims below

Fee Paid

120

310

220

155

Filing a brief in support of an appeal

\$

Total Claims	/38 / -20** = / 18/	x / 18/			\$324
Independent Claims	/4 / - 3** = / 1/	x / 80/ =			\$80
Multiple dependent	/ / x / / =				\$

121

270

221

135

Request for oral hearing

\$

Total Claims	/38 / -20** = / 18/	x / 18/			\$324
Independent Claims	/4 / - 3** = / 1/	x / 80/ =			\$80
Multiple dependent	/ / x / / =				\$

122

1,510

138

1,510

Petition to institute a public use proceeding

\$

Independent Claims	/4 / - 3** = / 1/	x / 80/ =			\$80
Multiple dependent	/ / x / / =				\$

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent	/ / x / / =				\$
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140

110

240

55

Petition to revive - unavoidable

\$

Multiple dependent	/ / x / / =				\$
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141

1,240

241

620

Petition to revive - unintentional

\$

Multiple dependent	/ / x / / =				\$
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142

1,240

242

620

10 advance copies

\$

Multiple dependent	/ / x / / =				\$
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142

1,240

242

620

Utility issue fee (or reissue)

\$

Multiple dependent	/ / x / / =				\$
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143

440

243

220

Design issue fee

\$

Multiple dependent	/ / x / / =				\$
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144

600

244

300

Plant issue fee

\$

Multiple dependent	/ / x / / =				\$
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122

130

122

130

Petitions to the Commissioner

\$

Multiple dependent	/ / x / / =				\$
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123

50

123

50

Processing fee under 37 CFR 1.17(g)

\$

Multiple dependent	/ / x / / =				\$
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126

180

126

180

Submission of Information Disclosure Statement

\$

Multiple dependent	/ / x / / =				\$
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126

180

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Submission of Information Disclosure Statement

\$

Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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Submission of Information Disclosure Statement

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Multiple dependent	/ / x / / =				\$
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